

FORM PTO-1449

Atty. Docket No.:
S01.12.1005

Appl. No.:
09/871,004

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SEP 27 2001
TC 1700

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Inventor Named Inventor:

John W. Johnson et al.

Filing Date

Group Art:

May 31, 2001

1762

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	5,316,636	5/1994	Bunshah et al.	204	157.47	
AB	5,374,463	12/1994	Bethune et al.	428	64	
AC	5,447,796	9/1995	Tsukamoto et al.	428	408	
AD	5,558,903	9/1996	Bhushan et al.	427	11	
AE	4,641,841	6/1997	Diaz et al.	525	540	
AF	5,744,431	4/1998	Diaz et al.	508	410	
AG	5,886,854	3/1999	Diaz et al.	360	99.08	
AH	6,017,630	1/2000	Tanaka et al.	428	402	
AI	6,045,596	4/2000	Schaenzer et al.	324	662	
AJ	09/835,120		Dykes et al. <i>filed</i>			4/13/2001
AK						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL						
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	"The Evaluation of Carbon-60 as a Lubricant for Thin-Film Magnetic Disks" by R.D. Mitchel et al., Computer Mechanics Laboratory, Technical Report No. 92-024, December 1992
AP	"Fullerene-Surface Interactions" by Alex V. Hamza, University of California, Lawrence Livermore National Laboratory, pp. 1-23, undated.
AQ	"Reaction and passivation of aluminum with C ₆₀ ", by Alex V. Hamza et al., Surface Science 318 (1994), pp. 368-378 <i>no month</i>

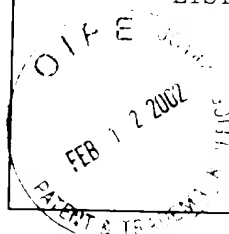
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DATE CONSIDERED: 10/1/02

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FORM PTO-1449		Atty. Docket No.: S01.12-0805	Appl. No. 09/871,0
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		First Named Inventor:	
		Joel W. Hoehn et al.	
		Filing Date May 31, 2001	Group A 176



U.S. PATENT DOCUMENTS

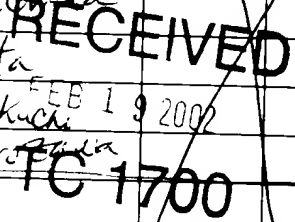
Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing If Approp
1/1/1/1	AA 4,503,125	3/1985	Nelson et al.	428	408	
1/1/1/1	AB 5,538,763	7/1996	Ueba et al.	427	523	
1/1/1/1	AC 5,744,399	4/1998	Rostoker et al.	438	622	
1/1/1/1	AD 5,876,790	3/1999	Kats	427	122	

FOREIGN PATENT DOCUMENTS

only "AL"

	Document No.	Date	Country	Class	Sub Class	Transla Yes
1/1/1/1	AE 07235045	05-09-95	Japan	Un		abstract
1/1/1/1	AF 08212539	20-08-96	Japan	Nitsumide		abstract
1/1/1/1	AG 05342570	24-12-93	Japan	Ishihara		abstract
1/1/1/1	AH 05314451	26-11-93	Japan	Tsuga		abstract
1/1/1/1	AI 10049885	20-02-98	Japan	Aita		abstract
1/1/1/1	AJ 06044556	18-02-94	Japan	Fukuchi		abstract
1/1/1/1	AK 05282660	29-10-93	Japan	Hiro		abstract
1/1/1/1	AL 2 461 997	22.07.80	France			abstract
1/1/1/1	AM 09211862	15.08.97	Japan	Kanayama		abstract
1/1/1/1	AN 11125904	11.05.99	Japan	Kanayama		abstract

No patent documents supplied

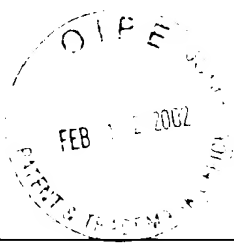


OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AQ	
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Sheet 1 of 1

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
BA						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
BB						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BC	"Molecular Ball Bearing system for Magnetic Head/Disc Interface", IBM Technical Disclosure Bulletin, Vol. 37, No. 7, July 1994
BD	Fartash, "Growth and Microstructure of Interfacially Oriented Large-Crystalline-Grain C. Sheets" Applied Physics Letters, Vol. 64, No. 14, pp. 1877-1879 April 4, 1994
BE	Altman et al., "Nucleation, Growth and Structure of Fullerene Films on Au(111)", Surface Science, No. 279, pp. 49-67, 1992 <i>no month</i>
BF	Sellidj et al., "Vibrational and Electronic Properties of Monolayer and Multilayer C. Films on Rh(111), Journal of Physical Chemistry, Vol. 97, No. 39, pp. 10076-10082, 1993 <i>no month</i>
BG	Chen et al., "Growth of C60 Films on Silicon Surfaces", Surface Science, No. 318, pp. 74-82, 1994 <i>no month</i>
BH	Tannigaki et al., "Crystal Growth of C. thin films on layered substrates", Applied Physics Letters, Vol. 63, No. 17, pp. 2351-2353, October 25, 1993

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M. R. Git

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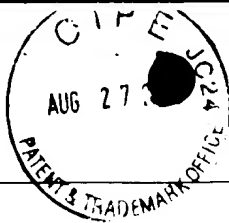
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AK						

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FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL	JP 09211862 A	15.08.97	Japan Tada et al			Abstract only
AM						
AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	
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